

**Minutes  
December 29, 2003**

**Regular Session: Yes**

**Call to Order: Meeting was called to order at 5:40 p.m.**

**Commissioners Present: Julie Shananaquet, Chairperson and Tim LaCroix,  
Secretary/Treasurer**

**Commissioners Absent: Chairperson, Beverly Wemigwase,**

**Guests: Vince Cook, Rita Shananaquet**

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*Agenda Item 3:(Agenda) Additions: 9c:Tribal Council Minutes and 9d: Gaming Attorney*

**Motion made by Tim LaCroix and supported by Julie Shananaquet**

**To approve Agenda as amended.**

**Vote: 2-Yes, 0-No, 1-Absent, 0-Abstained**

**Motion Carried**

*Agenda Item 4: (Minutes)*

**Motion made by Tim LaCroix and supported by Julie Shananaquet**

**To approve Minutes of November 26, 2003 as presented .**

**Vote: 2-Yes, 0-No, 1-Absent, 0-Abstained**

**Motion Carried**

**Motion made by Tim LaCroix and supported by Julie Shananaquet**

**To approve Minutes of December 18, 2003 as presented .**

**Vote: 2-Yes, 0-No, 1-Absent, 0-Abstained**

**Motion Carried**

*Agenda Item 5: (Phone Polls) No phone polls made. No action needed*

**Vice-Chairperson Beverly Wemigwase present at 5:45 p.m.**

*Agenda Item 6a: (Chairperson's Report) Highlights: Presented markup copy of Ethics Policy and By-Laws. Provided copy of Correspondence to Legal regarding Ethics, Bylaws, Pull tabs/Bingo. Delivered 3 versions of Hearing Policy. Licensing Memo and confidential envelope. W-9's.*

**Motion made by Tim LaCroix and supported by Beverly Wemigwase**

**To accept the Chairperson's verbal and written report.**

**Vote: 3-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

*Agenda Item 6b: (Vice-Chairperson's Report) Highlights-Requested 2002 E.J. Egghart Report on Special Audit on Variances. Verbal request to Vince Cook to check files for report.*

**Motion made by Julie Shananaquet and supported by Tim LaCroix**

**To accept the Vice-Chairman's verbal report.**

**Vote: 3-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

*Agenda Item 6c: ((Secretary/Treasurer's Report) Highlights- No official report. Requested that Chairperson attain a copy of year end report for Commission and Regulatory. No action needed.*

*Agenda Item 7a: (Regulatory Director's Report) Highlights- (1)Meeting w/Table Games Director. Write up of violations is not filtering down to floor workers. (2)2003 Draft Annual Report, (3)2004 - 2 Measurement Tools – Stats of man hours spent for internal audit and licensing. (4)Coupons/Club card, (5)MICS-Jackpot vs Credit payoff. Not violation as initially stated. Change wording for clarification. (6)Tribal Police involvement with SCA-Shift Manager reported – Policy change to include that Surveillance will contact. (7)SDS/CMS error - \$6,000. in player points. Internal Auditor checking into error. Clarification needed on oversight responsibilities.*

**Motion made by Tim LaCroix and supported by Julie Shananaquet**

**To accept the verbal and written report of the Regulatory Director.**

**Vote: 3-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

*Agenda Item 7b: (Licensing Department Report) Highlights-*

**Motion made by Julie Shananaquet and supported by Beverly Wemigwase**

**To move Licensing Report to end of agenda.**

**Vote: 3-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

*Agenda Item 7c: (Surveillance Department Report) Highlights-No report submitted. No action taken.*

*Agenda Item 7d: (Internal Auditor's Report) Highlights-No report submitted. No action taken.*

## **OLD BUSINESS**

*Agenda Item 8a: Hearing Policy. Discussion: The mark up version of January 25<sup>th</sup> will be retyped and e-mailed to Legal for review. No action taken.*

*Agenda Item 8b: Ethics Policy. Discussion: Mark up copy received from Legal. Version illegible will schedule work session for rewrite. No action taken.*

*Agenda Item 8c: By-Laws. Discussion: Mark up copy received from Legal. Version illegible. Will schedule work session for rewrite. No action taken. Discussed the need for an attorney versed in Gaming.*

## **NEW BUSINESS/PRIORITY BUSINESS**

*Agenda Item 9a: Meeting scheduled for 01/03/04, with Ron Olson and Gaming Administration. Purpose of meeting to discuss who has signing authority on Policy and Procedure changes. Regulatory Commission recommends that a policy be written and*

*followed. Recommend that Consultant be called in to assist in all policies and procedures. To be held at 10:00 am @ Hotel Superior Room.*

**Motion made by Julie Shananaquet and supported by Tim LaCroix**

**To approve stipend and mileage to attend meeting on January 3, 2004 at the Victories Inn/Superior Room.**

**Vote: 3-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

*Agenda Item 9b: NIGC Weekly Reporting (Waiver Status) Discussion: Terminated Employees reported. License Renewals not being presented to Commission. Question whether or not authority to renew without Commission involvement was designated by previous Commission. Would like to see policy that Andrea Tompkins if following. Concerned as to whether or not any issuance of a license is considered new. Very minimum would like to see a list of license renewals.*

*Agenda Item 9c: Tribal Council Minutes (1)Work Agreements, (2)Review Board Hearings, - Discussion: Mr. Bransky needs to be involved regarding due process issues. (3)Stipend/Per Diem Forms. (4)Oversight of Commission Budgets. No action taken.*

*Agenda Item 9d: Gaming Attorney. Discussion. There is a need, must contact accounting to discuss 2004 budget.*

**Motion made by Tim LaCroix and supported by Julie Shananaquet**

**To table discussion of need for Gaming Attorney until next regular meeting.**

**Vote: 3-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

*Agenda Item 9e: Licenses - Break @ 6:35 p.m. to review licenses submitted by Andrea Tompkins. Back in session at 6:52 p.m.*

**Motion made by Tim LaCroix and supported by Beverly Wemigwase**

**To approve Liberman Companies, Inc., Gaming Key Contractors License, No.: 1060.**

**Vote: 3-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

**Motion made by Tim LaCroix and supported by Beverly Wemigwase**

**To approve the Black Jack , Key Contractors License, No.: T1059.**

**Vote: 3-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

**Motion made by Tim LaCroix and supported by Beverly Wemigwase**

**To approve two employee Key Licenses.**

**Vote: 3-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

*(Discussion: Would like license forms to contain a license number)*

**Motion made by Tim LaCroix and supported by Beverly Wemigwase**

**To approve one employee Non-Key License.**

**Vote: 3-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

**Motion made by Tim LaCroix and supported by Beverly Wemigwase**

**To approve one compliance license.**

**Vote: 3-Yes, 0-No, 0-Absent, 0-Abstained**  
**Motion Carried**

**Motion made by Beverly Wemigwase and supported by Tim LaCroix**  
**To direct Regulatory Director, to inform Licensing staff of requested change on License Form to include Badge number, for reference purpose when reading into minutes.**

**Vote: 3-Yes, 0-No, 0-Absent, 0-Abstained**  
**Motion Carried**

*Agenda Item 10*

The next Regular Scheduled meeting to occur on January 8, 2004

*Agenda Item 11: (Adjournment)*

**Motion made by Tim LaCroix and supported by Beverly Wemigwase**  
**To adjourn meeting at 7:00 p.m.**

**Vote: 3-Yes, 0-No, 0-Absent, 0-Abstained**  
**Motion Carried**

**These Minutes have been read and approved as written:**

**Approved:**

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Tim LaCroix, Acting Commission Secretary/Treasurer

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Date